



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. : **JPM-003 CON**  
Applicant : Watts et al  
Appl. No. : 10/696,546  
Filed : October 28, 2003  
Customer No. : 26137  
Conf. No. : 7838  
Art Unit : 3627

Title : Method and System  
for Modeling  
Volatility

**CERTIFICATE OF MAILING(37 CFR § 1.10)**

Express Mail Number **EL 790627815 US**

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below with sufficient postage in an envelope addressed to MS Missing Parts, Commissioner for Patents, Alexandria, VA 22313-1450

Signature: 

Name of Person Mailing: **Michael McGuire**

Date of Deposit: **February 10, 2004**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**PRELIMINARY AMENDMENT**

Dear Sir:

Please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

**Fee Authorization.** Please charge the following to Deposit Account **19-2385**:

Any additional fees that may be required in connection with this submission.